



## 合格投资人调查问卷

本调查问卷的目的在于帮助史维特斯评估潜在投资人是否符合投资的条件。所有通过本调查问卷向史维特斯提供的信息, 包括任何附属资料, 根据我们的保密规定均将成为保密资料, 如欲了解我们的保密规定, 请登陆[www.civitascapital.com/privacy](http://www.civitascapital.com/privacy)。

The purpose of this questionnaire is to help Civitas evaluate whether a prospective investor is eligible to make an investment. All information submitted to Civitas in this questionnaire and any attached documents will be confidential pursuant to our Privacy Policy, which you can review at [www.civitascapital.com/privacy](http://www.civitascapital.com/privacy).

通过完成此表格, 签字人在此声明其具有金融和商业方面的知识和经验, 因此具有评估投资收益和风险, 以及保护其投资利益的能力。签字人在评估收益和风险时不会依赖任何其他人的投资建议。签字人通过提供以下要求的信息特此证明其具有相关的知识和经验。

By completing this form, the undersigned hereby has knowledge and experience in financial and business matters so as to be capable of evaluating the merits and risks of, and protecting his or her own interests in connection with, investing in the Units. The undersigned is not relying upon any other person's investment advice in connection with evaluating such merits and risks. The undersigned offers as evidence of his or her knowledge and experience in these matters the information requested hereinafter.

### INSTRUCTIONS, 说明

1. 请完整填写本调查问卷。如果问题不适用您的情况, 请填写“N/A”。请不要留空白。  
Please complete this questionnaire completely. Enter “N/A” if any question is not applicable.  
Please do not leave blanks.
  - a. 请就主申请人和联合申请人的情况完成本调查问卷。  
Complete this questionnaire for the primary applicant and joint applicant.
2. 带有照片的身份证件副本  
Photo Identification Card copies
  - a. 请附上一份申请人的护照照片页、驾照、或者其它带有照片的身份证件的清晰副本  
Attach a legible copy of the photo page of the applicant's Passport, Driver's License, or other Photo Identification card.
  - b. 如果申请人的身份证件的签名页与照片页不在同一页上, 请再附上一份签名页的清晰副本。  
If the signature page is separate from the photo page on any applicant's Identification Card, also attach a legible copy of the signature page.
3. 请通过以下方式提交您的调查问卷和身份证件副本  
Return the questionnaire and passport copies to Civitas at:  
传真: +1 214 572 2398  
Fax  
或者  
or  
电子邮件: [alternative@civitascapital.com](mailto:alternative@civitascapital.com)  
Email



请认真回答调查问卷的所有问题。如问题不适用, 请填写“N/A”。  
PLEASE ANSWER ALL QUESTIONS. IF A QUESTION IS NOT APPLICABLE, ENTER “N/A”.

- 我所代表的机构是总资产超过200万美元的实体  
The organization I represent is an entity with total assets in excess of \$2,000,000.
- 我所代表的实体总资产超过500万美元。  
The organization I represent is an entity with total assets in excess of \$5,000,000.
- 我所代表的实体中的所有股权所有人或委托人均为合格投资人。  
The organization I represent is an entity in which all of the equity owners or grantors are accredited investors.

请在您所代表的实体类型前面的方框内打勾:

**PLEASE CHECK THE BOX NEXT TO THE TYPE OF ENTITY YOU REPRESENT:**

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> 合伙企业 <sup>1</sup><br>Partnership                 | <input type="checkbox"/> 公司 <sup>2</sup><br>Corporation               | <input type="checkbox"/> 信托 <sup>3</sup><br>Trust |
| <input type="checkbox"/> 股份有限公司 <sup>4</sup><br>Limited Liability Company | <input type="checkbox"/> 机构投资者 <sup>5</sup><br>Institutional Investor | <input type="checkbox"/> 银行 <sup>6</sup><br>Bank  |
| <input type="checkbox"/> 捐赠基金 <sup>7</sup><br>Endowment                   | <input type="checkbox"/> 基金会 <sup>8</sup><br>Foundation               |   |

**联系信息 CONTACT INFORMATION**

机构名称: \_\_\_\_\_  
Organization Name

主要地址: \_\_\_\_\_  
Principal Address (街道号码和名称 Street # and Name) (门牌号码 Apt. #)  
\_\_\_\_\_  
(城或镇 City or Town) (州或省 State or Province)  
\_\_\_\_\_  
(国家 Country) (邮编 Zip or Postal Code)

邮寄地址: \_\_\_\_\_  
Mailing Address (街道号码和名称 Street # and Name) (门牌号码 Apt. #)  
\_\_\_\_\_  
(城或镇 City or Town) (州或省 State or Province)  
\_\_\_\_\_  
(国家 Country) (邮编 Zip or Postal Code)

电话号码: \_\_\_\_\_  
Telephone Number (国家代码 Country Code) (区域代码 Area Code) (号码 Number)

主要联系人: \_\_\_\_\_  
Primary Contact

直拨电话: \_\_\_\_\_ 电子邮件: \_\_\_\_\_  
Direct Telephone Email Address

所有授权人姓名: \_\_\_\_\_  
Authorized Person(s)

此实体是否曾经购买过属于证券法中豁免注册的非公开发售的证券?  是 Yes  否 No  
Has the entity previously purchased securities that were sold in reliance upon the nonpublic offering exemption from registration under the Securities Act?



请认真回答调查问卷的所有问题。如问题不适用，请填写“N/A”。  
PLEASE ANSWER ALL QUESTIONS. IF A QUESTION IS NOT APPLICABLE, ENTER "N/A".

通过在下方签字，签字人在此声明和保证其代表自己或以受托人身份作出与本申请文件有关的投资决策，并且具有作出投资决策的完全权力或授权。代表签字人签字的个人在此声明和保证其可以全权代表签字人签署本申请文件以及购买和赎回投资股份。

By signing this document, the undersigned represents and warrants that it has full right, power and authority to make the investment(s) applied for pursuant to this Application and is acting for itself or in some fiduciary capacity in making such investment, and the individual(s) signing on behalf of the undersigned represent and warrant that they are fully authorized to sign the Application and to purchase and redeem Fund shares on behalf of the undersigned.

申请人名称 Applicant's Printed Name

签字 Signature

日期 Date

<sup>1</sup> 请附上合伙协议。 Attach Partnership Agreement.

<sup>2</sup> 请附上经过认证的公司决议和公司章程副本，公司决议中需有授权其代表代为签署购买文件的条款。 Attach copy of certified Corporate Resolution authorizing representative to execute purchase documents and certified copy of Articles/Certificate of Incorporation.

<sup>3</sup> 请附上信托协议或其它授权文件副本。 Attach copy of Trust Agreement or other authorization.

<sup>4</sup> 请附上公司成立文件或其它授权文件。 Attach Company formation documents, or other authorization.

<sup>5</sup> 请附上实体成立文件或其它授权文件。 Attach Entity formation documents, or other authorization.

<sup>6</sup> 请附上实体成立文件或其它授权文件。 Attach Entity formation documents, or other authorization.

<sup>7</sup> 请附上实体成立文件或其它授权文件。 Attach Entity formation documents, or other authorization.

<sup>8</sup> 请附上实体成立文件或其它授权文件。 Attach Entity formation documents, or other authorization.