

EB-5 投资人保密问卷

史维特斯需对所有投资人进行尽职调查,以确认投资人符合EB-5方案申请资格。您在这份问卷和任何附加文件中提供给我们所有信息都将得到严格地保密。您可以在网站上查看我们的保密条款,网址是 www.civitascapital.com/privacy。

The purpose of this questionnaire is to help Civitas evaluate whether a prospective investor is eligible to make an EB-5 investment. All information submitted to Civitas in this questionnaire and any attached documents will be confidential pursuant to our Privacy Policy, which you can review at www.civitascapital.com/privacy.

INSTRUCTIONS

1. 请认真回答调查问卷的所有问题,如问题不适用,请填写“N/A”不要空缺。

Please complete this questionnaire completely. Enter "N/A" if any question is not applicable. Please do not leave blanks.

a. 调查问卷的信息必须针对主申请人和共同申请EB-5的配偶及子女。

Complete this questionnaire for the primary applicant and any spouse or dependents who you anticipate will be included in the final EB-5 petition to US Citizenship and Immigration Services.

2. 护照复印件

Passport copies

a. 史维特斯要求提供主申请人的有效护照照片页的清晰复印件

Attach a legible copy of the photo page of the primary applicant's passport.

b. 如果问卷包含主申请人配偶和/或孩子的信息,请提供有效护照照片复印件

If this questionnaire includes the primary applicant's spouse and/or children, also attach a legible copy of the photo page of each dependent's passport.

c. 如果护照的签名页和护照照片页是分开的,请包括签名页的复印件

If the signature page is separate from the photo page on any applicant's passport, also attach a legible copy of the signature page.

3. 请将申请人初步调查问卷和护照复印件提交到以下地址:

Return the questionnaire and passport copies to Civitas at:



Civitas Capital Management, LLC
1601 Bryan Street | Suite M-200
Dallas, Texas 75201
Fax: +1 214 572 2398
info@civitascapital.com



请认真回答调查问卷的所有问题。如问题不适用, 请填写“N/A”。
PLEASE ANSWER ALL QUESTIONS. IF A QUESTION IS NOT APPLICABLE, ENTER "N/A".

主申请人信息 PRIMARY APPLICANT INFORMATION

姓名: _____
Name (姓 Last/Family) (名 First/Given) (中间名 Middle Name)

曾用名或别名 (或婚前姓名): _____
Other Names Used or Aliases (Including Maiden Name)

中文书写全名: _____
Full Name in Native Alphabet

美国社会安全号 (如适用): _____
US Social Security Number (if any)

身份证号: _____
National ID Number (if any)

出生日期: _____ / _____ / _____ 性别: 男 Male 女 Female
Date Of Birth (月 MM) (日 DD) (年 YYYY) Gender

护照号: _____ 护照签发国: _____
Passport Number Country of Passport Issuance

出生国家: _____ 当前法定居住国家: _____
Country of Birth Country of Current Legal Residence

地址: _____
Current Permanent Address (街道号码和名称 Street # and Name) (门牌号码 Apt. #)

(城或镇 City or Town) (州或省 State or Province)

(国家 Country) (邮编 Zip or Postal Code)

婚姻状况: 单身 已婚 离异 丧偶
Current Marital Status Single/Never Married Married Divorced Widowed

子女的数量: _____
Number of Dependents (excluding spouse)

电话号码: _____ - _____
Current Telephone Number (国家代码 Country Code) (区域代码 Area Code) (号码 Number)

传真号码: _____ - _____
Current Fax Number: (国家代码 Country Code) (区域代码 Area Code) (号码 Number)

电子邮箱: _____
Email Address:

英语能力: 需要翻译 能够交流 熟练
English Proficiency Need Translator Conversational Fluent

其他语言: _____
Other Languages Spoken (if any)

雇主/企业名称 (如适用): _____
Full Name of Current Employer (if any)



请认真回答调查问卷的所有问题。如问题不适用, 请填写“N/A”。
PLEASE ANSWER ALL QUESTIONS. IF A QUESTION IS NOT APPLICABLE, ENTER "N/A".

居住在美国境内的申请人 FOR PRIMARY APPLICANTS RESIDING IN THE UNITED STATES

如果主申请人居住在美国境内, 请填写以下信息, 如果主申请人居住在美国以外的国家, 请勾选选择框。
Please fill out the information below if the primary applicant resides in the United States. If you do not reside in the United States, please check this box to indicate this section is not applicable:

美国地址: _____
United States Address (街道号码和名称 Street # and Name) (门牌号码 Apt. #)

_____ (城或镇 City or Town) (州或省 State or Province)

_____ (国家 Country) (邮编 Zip or Postal Code)

到美日期: _____ / _____ / _____
Date of Arrival (月 MM) (日 DD) (年 YYYY) 当前签证类型 (如适用): _____
Current Visa Type (if any)

入境卡号码: _____ 入境卡过期日期: _____ / _____ / _____
I-94 Number I-94 Expiration Date: (月 MM) (日 DD) (年 YYYY)

当前非移民身份状态 (如适用): _____
Current Non-Immigrant Status (if any):

申请人配偶信息 (如适用) APPLICANT SPOUSE INFORMATION (IF APPLICABLE)

姓名: _____
Name (姓 Last/Family) (名 First/Given) (中间名 Middle Name)

曾用名或别名 (或婚前姓名): _____
Other Names Used or Aliases (Including Maiden Name)

中文书写全名: _____
Full Name in Native Alphabet

美国社会安全号 (如适用): _____
US Social Security Number (if any)

身份证号: _____
National ID Number (if any)

出生日期: _____ / _____ / _____ 性别: 男 Male 女 Female
Date Of Birth (月 MM) (日 DD) (年 YYYY) Gender

护照号: _____ 护照签发国: _____
Passport Number Country of Passport Issuance

出生国家: _____ 当前法定居住国家: _____
Country of Birth Country of Current Legal Residence

电子邮箱: _____
Email Address

雇主/企业名称 (如适用): _____
Full Name of Current Employer (if any)

子女信息 (如适用) CHILDREN'S INFORMATION (IF APPLICABLE)

请列举出您所有的21岁以下子女的信息, 从长至幼。

Please provide the following information about each of your applicant children under the age of 21.
Please list children in order from oldest to youngest.

1. 姓名: _____
Name (姓 Last/Family) (名 First/Given) (中间名 Middle Name)

出生日期: _____ / _____ / _____ 出生国家: _____
Date of Birth (月MM) (日DD) (年YYYY) Country of Citizenship

社会安全号 (若有): _____ 性别: 男 Male 女 Female
Social Security Number (if any) Gender

2. 姓名: _____
Name (姓 Last/Family) (名 First/Given) (中间名 Middle Name)

出生日期: _____ / _____ / _____ 出生国家: _____
Date of Birth (月MM) (日DD) (年YYYY) Country of Citizenship

社会安全号 (若有): _____ 性别: 男 Male 女 Female
Social Security Number (if any) Gender

3. 姓名: _____
Name (姓 Last/Family) (名 First/Given) (中间名 Middle Name)

出生日期: _____ / _____ / _____ 出生国家: _____
Date of Birth (月MM) (日DD) (年YYYY) Country of Citizenship

社会安全号 (若有): _____ 性别: 男 Male 女 Female
Social Security Number (if any) Gender

4. 姓名: _____
Name (姓 Last/Family) (名 First/Given) (中间名 Middle Name)

出生日期: _____ / _____ / _____ 出生国家: _____
Date of Birth (月MM) (日DD) (年YYYY) Country of Citizenship

社会安全号 (若有): _____ 性别: 男 Male 女 Female
Social Security Number (if any) Gender



请认真回答调查问卷的所有问题。如问题不适用, 请填写“N/A”。
PLEASE ANSWER ALL QUESTIONS. IF A QUESTION IS NOT APPLICABLE, ENTER “N/A”.

主申请人和配偶的财务状况 PRIMARY APPLICANT AND SPOUSE'S FINANCIAL RESOURCES

请根据收入情况勾选下面的选择框:
Please check the applicable boxes regarding your annual income.

仅主申请人 PRIMARY APPLICANT ONLY		
今年预期收入 Expected This Year	<input type="checkbox"/> 少于20万美元 Less than US \$200,000	<input type="checkbox"/> 达到或超过20万美元 More than US \$200,000
去年收入 Last Year	<input type="checkbox"/> 少于20万美元 Less than US \$200,000	<input type="checkbox"/> 达到或超过20万美元 More than US \$200,000
两年前收入 Two Years Ago	<input type="checkbox"/> 少于20万美元 Less than US \$200,000	<input type="checkbox"/> 达到或超过20万美元 More than US \$200,000

主申请人及其配偶 PRIMARY APPLICANT PLUS SPOUSE		
今年预期收入 Expected This Year	<input type="checkbox"/> 少于30万美元 Less than US \$300,000	<input type="checkbox"/> 达到或超过30万美元 More than US \$300,000
去年收入 Last Year	<input type="checkbox"/> 少于30万美元 Less than US \$300,000	<input type="checkbox"/> 达到或超过30万美元 More than US \$300,000
两年前收入 Two Years Ago	<input type="checkbox"/> 少于30万美元 Less than US \$300,000	<input type="checkbox"/> 达到或超过30万美元 More than US \$300,000

请填写主申请人的净资产。这里的“净资产”为资产减去负债, 并且不包括自住住所价值。
Please indicate the primary applicant's net worth. For this purpose, “net worth” means total assets minus total liabilities, exclusive of the net value of your primary residence.

主申请人的净资产(美元) PRIMARY APPLICANT'S NET WORTH (IN \$US)		
<input type="checkbox"/> 少于100万美元 Less than \$1,000,000	<input type="checkbox"/> 超过100万美元但少于200万美元 \$1,000,000 or more, but less than \$2,000,000	<input type="checkbox"/> 超过200万美元 \$2,000,000 or more

投资资金的来源 SOURCE OF FUNDS FOR EB-5 INVESTMENT

请简要描述您EB-5投资来源。若您不确定您的资金来源, 请勾选以下选择框:
Briefly describe each source of your EB-5 investment funds in the table below.
If you are unsure of the source of your funds, please check this box:

资金的来源 Source of Funds	金额/价值 Amount (\$US)	资产描述 Description of Source of Funds
个人存款 Savings		
馈赠 Gift		请完成第7页上的关于赠与人的信息 Please complete the questions on page 6 for each person involved in providing applicant gift funds.
贷款 Loan		请提供贷款人的全名及与申请人的关系(如适用) Lender name and relationship to applicant (if applicable):
资产出售 Sale of Asset(s)		
继承 Inheritance		
其它 Other		
总资金来源 Total Sources of Funds	\$500,000	

如果任何资金来源来自以下一个或几个国家, 请勾选:
Please check the relevant box if any of the funds for your EB-5 investment are coming from one or more of the following countries:

- 伊朗 Iran 朝鲜 North Korea 苏丹 Sudan 叙利亚共和国 Syria



请认真回答调查问卷的所有问题。如问题不适用，请填写“N/A”。
PLEASE ANSWER ALL QUESTIONS. IF A QUESTION IS NOT APPLICABLE, ENTER "N/A".

关于EB-5投资赠与资金的更多信息 FURTHER INFORMATION REGARDING GIFT FUNDS FOR EB-5 INVESTMENT

请就您EB-5投资资金来源中的每一项赠与完成这部分。如果您已经为I-526申请准备过赠与清单，请完成本调查问卷并附上此清单。如果您不会用到赠与资金，请勾选以下选择框：

Complete this section for each gift included in your EB-5 investment source of funds. If you have already prepared a gift statement for your I-526 petition, please attach and return it with this completed questionnaire. If you are not utilizing gift funds, please check this box:

SOURCE OF FUNDS	赠与1 GIFT #1	赠与2 (如有) GIFT #2 (if any)	赠与3 (如有) GIFT #3 (if any)
赠与金额 (美元) Gift Amount (US\$)	\$	\$	\$
赠与人全名 Full Name of Giving Person			
与申请人关系 Relationship to Applicant			
赠与人当前地址 Giver's Current Address			
赠与人国籍 Giver's Country of Citizenship			
赠与人护照号及签发国 Giver's Passport Number and Country of Issuance			
赠与人当前雇主 Giver's Current Employer			
赠与人当前职位 Giver's Current Job Title			
赠与资金的来源 Sources of Funds for Gift (check all that apply)			
存款 Savings	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
房产出售 Sale of real estate	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
贷款 Loan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
遗产 Inheritance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
其它 (请具体说明) Other (Please describe)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

如果有任何赠与资金来自非个人(例如: 信托或实体), 请勾选以下选择框:
If any gift funds are from non-individual sources (such as a trust or entity), please check this box:



请认真回答调查问卷的所有问题。如问题不适用, 请填写“N/A”。
PLEASE ANSWER ALL QUESTIONS. IF A QUESTION IS NOT APPLICABLE, ENTER "N/A".

其它问题 – 移民律师 ADDITIONAL QUESTIONS - IMMIGRATION ATTORNEY

请回答以下每一个问题:
Please answer each question below:

您是否已经为本投资计划聘请了移民律师? 是 Yes 否 No
Do you have an immigration attorney for this investment?

若是, 请列出您的律师姓名, 公司名称, 电话, 以及电邮:
If Yes, please identify your counsel's name, firm, telephone, and email address:

律师姓名和公司名称: _____
Attorney Name

律师电话: _____ (_____) _____ - _____
Attorney Telephone (国家代码 Country Code) (区域代码 Area Code) (号码 Number)

律师电邮: _____
Attorney Email Address

若否, 您是否需要我们为您推荐? If No, would you like a referral? 是 Yes 否 No

其它问题 – 一般问题 ADDITIONAL QUESTIONS - GENERAL

1. 在进行EB-5投资之前或投资时您预期是否会居住在美国? 是 Yes 否 No
Do you expect to be resident in the United States prior to or at the time of making an EB-5 investment?

2. 本调查问卷所列申请人中是否有美国永久居民(“绿卡”持有者)? 是 Yes 否 No
Is any applicant listed in this questionnaire currently a United States permanent resident (a "green card" holder)?

若是, 请列出此申请人姓名和“绿卡”到期日:
If Yes, please identify the applicant(s) and current date on which the permanent residency or green card expires:

a. _____ / ____ / ____
(姓 Last/Family) (名 First/Given) (中间名 Middle Name) (月 MM) (日 DD) (年 YYYY)

b. _____ / ____ / ____
(姓 Last/Family) (名 First/Given) (中间名 Middle Name) (月 MM) (日 DD) (年 YYYY)

3. 本调查问卷所列申请人中是否有美国签证(例如: 学生签证、旅游签证、商务签证)持有者? 是 Yes 否 No
Does any applicant listed in this questionnaire hold any U.S. Visa (examples: student, tourist, business)?

若是, 请列出:
If Yes, please identify:

此申请人姓名: _____
Applicant Name(s): (姓 Last/Family) (名 First/Given) (中间名 Middle Name)

签证类型: _____ 签证到期日: _____ / ____ / ____
Type of Visa Current date on which the visa expires (月 MM) (日 DD) (年 YYYY)

申请人的最后入境日期: _____ / ____ / ____
(月 MM) (日 DD) (年 YYYY)

4. 本调查问卷所列申请人中是否有人曾被拒绝授予美国签证或绿卡? 是 Yes 否 No
Has any applicant listed in this questionnaire ever been denied a United States visa or green card?



请认真回答调查问卷的所有问题。如问题不适用, 请填写“N/A”。
PLEASE ANSWER ALL QUESTIONS. IF A QUESTION IS NOT APPLICABLE, ENTER “N/A”.

其它问题 ADDITIONAL QUESTIONS (continued)

若是, 请列出此申请人姓名, 签证地点和被拒绝的理由:
If Yes, please identify the applicant(s) consulate location and reason for the denial:

签证地点: _____
Consulate Location:

被拒绝的理由: _____
Reason for Denial:

5. 是否有申请人曾经逾期在美国停留? 是 Yes 否 No
Have you or any applicant ever stayed in the U.S. beyond authorization?

若是, 请解释具体情况: _____
If Yes, please explain the circumstances

6. 是否有申请人曾经持有J-1签证? 是 Yes 否 No
Have you or any applicant ever held a J-1 visa?

若是, 请提供签证到期日: _____ / _____ / _____
If Yes, please provide the date of expiration (月MM) (日DD) (年YYYY)

7. 是否有申请人因任何病史可能导致被拒绝进入美国? 是 Yes 否 No
Do you know of any medical condition that may disqualify you, any applicant, or a dependent from admission to the U.S.?

若是, 请详述: _____
If Yes, please describe

8. 是否有申请人曾加入共产党? 是 Yes 否 No
Have you or any applicant ever been a member of the communist party?

若是, 请列出入党和退党的日期, 并说明是否曾在党内担任任何领导职务。
If Yes, please state the date joined and the date resigned, and whether any type of leadership position in the Communist Party was held at any time.

加入日期: _____ / _____ / _____ 党内职务: _____
Date Joined (月MM) (日DD) (年YYYY) Leadership Position

9. 是否有申请人曾在任何国家被定过罪? 是 Yes 否 No
Has any applicant ever been convicted of any crime in any jurisdiction?

若是, 请提供日期, 罪名和解决方式: _____
If Yes, please provide the date, offense and resolution:

10. 是否有申请人曾在美国的入关处被拒绝入境? 是 Yes 否 No
Has any applicant ever been refused admission to the United States at a port-of-entry?

若是, 请详细说明: _____
If Yes, please explain in detail

11. 您是否还有其它与移民签证申请或申请人相关的任何信息尚未说明? 是 Yes 否 No
Is there any other information that could be relevant to your immigration process that you have not disclosed?

若是, 请在下方说明: _____
If Yes, please summarize



请认真回答调查问卷的所有问题。如问题不适用, 请填写“N/A”。
PLEASE ANSWER ALL QUESTIONS. IF A QUESTION IS NOT APPLICABLE, ENTER “N/A”.

申请人确认和签字 APPLICANT CERTIFICATION AND SIGNATURE

本人已尽力完成填写以上信息并保证所提供的信息全部真实可靠。
I certify that all the information provided in this document is true and correct to the best of my knowledge.

申请人姓名*: _____ 日期: _____ / _____ / _____
APPLICANT NAME (签字 Signature) DATE (月MM) (日DD) (年YYYY)

*上文空格处电脑打字的签名与手写签名具有相同效力
A typed signature in the space above shall have the same force as an original.